Third Party Trading Authorisation Form

TD Waterhouse

How to complete this form: Please use BLOCK CAPITALS.

- Please ensure you have read the enclosed TD Waterhouse Customer Terms of Service.
- All applicants must complete all sections of this form and sign the declaration in Section 3.
- Please enter your TD Waterhouse Customer Account Number here: | | | | | | | |

1 About yourself

First applicant details

Title | Full forename(s)

Surname | Date of birth DD/MM/YY

Permanent residential address

Postcode

Joint applicant details

Title | Full forename(s)

Surname | Date of birth DD/MM/YY

Permanent residential address

Postcode

If you are applying for Banking Services, for security purposes
First applicant please supply your Mother's Maiden Name

2 Third Party Trading Authorisation

I/We hereby authorise the under-mentioned individual to place instructions for the purchase and sale of securities on my/our behalf.

Full name of authorised person

Title | Forename(s) (in full) | Surname

Address of authorised person

Postcode

TD Waterhouse Account Number (if held). | | | | | | | | |

Date of birth DD/MM/YY

- I, the authorised person, confirm that I am 18 years of age or over and consent to TD Waterhouse using and disclosing information contained in the application about me to make enquiries of authorised referees and licensed credit reference agencies for credit assessment purposes.
- I understand that such agencies will keep a record of the enquiry.
- I/We confirm that the assets of the account holder mentioned above will remain in his/her name and that I/We have no legal or beneficial entitlement to the assets, income derived from these assets or cash held in these accounts.

Signature of authorised person

✗ _____ Date D D M M Y Y Y Y

- I/We confirm that TD Waterhouse shall be entitled to treat such instructions as if they had been given by me/us in accordance with the Terms and Conditions applicable to the Account and that I/we remain personally responsible for any losses incurred by the authorised individual whilst trading on my/our behalf. I/We jointly and severally undertake to indemnify TD Waterhouse against any such losses, liabilities, costs and expenses arising there from which may be brought against, or incurred by, TD Waterhouse.
- I/We also understand that this authority extends only to the placing of instructions to buy or to sell securities on my/our behalf. I/We consent to TD Waterhouse providing that authorised individual with such account information as may be necessary to enable him/her to place such buy/sell instructions. I/We also understand that the Third Party Authorisation only extends to the TD Waterhouse Account Number quoted above.

3 Declaration

I/We confirm that I/we have read and understood the TD Waterhouse Customer Terms of Service, and agree to be bound by their terms.

First applicant signature

✗ _____

Date D D M M Y Y Y Y

Joint applicant signature

✗ _____

Date D D M M Y Y Y Y

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