

# Overseas Resident Trading Application Form



If your permanent residential address is outside the UK, please use this form and the enclosed Verification of Identity form to apply for a Trading Account.

## How to complete this form: Please use BLOCK CAPITALS. All sections are mandatory

- Please complete and return this form and the relevant Verification of Identity form and endorsed copies of proof of identity and address documentation (please see section 2), Note the Verification of Identity form must be completed by a Regulated Financial Intermediary.
- Please read the TD Waterhouse Customer Terms of Service before completing the form and ensure that you sign (X) and date the Declaration in section 5.
- Should you require any help completing this form, please do not hesitate to call us on +44 161 819 6467.
- If you are an existing TD Waterhouse customer, please enter your Customer Account Number here:
- Please note that you will need to fund your account with at least £100 before it is fully active.

### 1 About yourself

#### First applicant details

Title

Forename

Middle name(s)

Surname

Date of birth

Mother's maiden name

Home phone no. inc std

Work phone no. inc std

Mobile phone no.

Email address<sup>†</sup>

Tax Identification No.\*

\*If this is not known, please complete the following

Place (Town & Country) of birth

Nationality

Country of residence

Country of Taxation<sup>††</sup>

National Insurance No. (if applicable)

<sup>††</sup>For the applicant making the application

#### First applicant address

Postcode

Residence Type  Homeowner – owned outright

Homeowner – subject to mortgage

Tenant  Living with relatives

Time at current address Years  Months

#### Employment status (please tick as appropriate)

##### First applicant

Full time employment  Homemaker

Part time employment  Unemployed

Self employed  Student

Retired  Armed Forces

Employer's name

#### Joint applicant details

Title

Forename

Middle name(s)

Surname

Date of birth

Home phone no. inc std

Work phone no. inc std

Mobile phone no.

Email address<sup>†</sup>

Tax Identification No.\*

\*If this is not known, please complete the following

Place (Town & Country) of birth

Nationality

Country of residence

Country of Taxation<sup>††</sup>

National Insurance No. (if applicable)

<sup>†</sup>Please tick this box if you would like to receive news and email information on other products and services offered by TD Waterhouse of other members of its Group of companies by email or SMS. You may unsubscribe from this service at any time by contacting us on +44 161 819 6464.

#### Joint applicant address

Postcode

Residence Type  Homeowner – owned outright

Homeowner – subject to mortgage

Tenant  Living with relatives

Time at current address Years  Months

##### Joint applicant

Full time employment  Homemaker

Part time employment  Unemployed

Self employed  Student

Retired  Armed Forces

Employer's name

**Employer's address**

Postcode
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No. of years in current employment

YY / MM
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**Employer's address**

Postcode
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No. of years in current employment

YY / MM
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**2 Identification and Status Verification (ALL applicants to complete this section).**

Documents – Please submit with your application, the Verification of Identity form which must be completed by a regulated EU or equivalent Financial Intermediary, for example your bank. Please note that the bank's sort code must be clearly visible when the documentation is stamped. The Intermediary must also copy and endorse the two forms of identification, which you are required to submit, one of which must be your passport or driving licence (with photo). Please do not submit original identification documentation. Sending these documents separately will delay your application.

**Bank/Building Society details**

First Applicant		Joint Applicant	
Bank/Building Society	<input style="width: 100%;" type="text"/>	Bank/Building Society	<input style="width: 100%;" type="text"/>
Branch	<input style="width: 100%;" type="text"/>	Branch	<input style="width: 100%;" type="text"/>
Account holder name	<input style="width: 100%;" type="text"/>	Account holder name	<input style="width: 100%;" type="text"/>
Account number	<input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/>	Account number	<input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/> <input style="width: 50px;" type="text"/>
Sort code	<input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/>	Sort code	<input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/> <input style="width: 30px;" type="text"/>

**3 Setting up Your Account:****Holding your shares electronically – Nominee Service**

Your Trading Account will feature a Nominee Service, which means your shares will be held electronically. The Cash Management Service (CMS) Account is directly linked to your Trading Account, so as you trade, any related funds are automatically debited or credited to your account. Should you wish to access your account online call us on +44 161 819 6467. Your login password will be sent out via the Post. Please tick this box  to receive account administration messages by post, alternatively they will be received electronically.

**Existing Share Holdings**

To transfer any existing certificated share holdings to your new account, please complete the details below. Once we have received this information, we will send the necessary transfer forms for you to check, sign and return to us with your share certificate(s).

Please do not send any share certificates now. Continue on an additional sheet of paper if necessary.

Name(s) as shown on the share certificate	Name of stock and type (please enter full company name eg. Marks & Spencer PLC, followed by the type of security eg. Ord 25p)	No. of shares to transfer	No. of shares on certificate	Original purchase price per share
				£
				£

Note: The information entered here must be exactly the details on the share certificate(s) and the company's register. If the certificate holder's name is spelt incorrectly, please give the incorrect spelling. The owner of this Nominee Account must be the same holder of the share certificate(s) being transferred.

**4 Funding your account**

Please specify your preferred funding option from the list below.

Stock transfer     Stock deposit     Cheque payment (minimum £100)

I enclose a cheque for  £ Please make your cheque payable to TD Waterhouse.

**5 Declaration (please read and sign this section)**

To open a TD Waterhouse Account and receive Banking Services all applicants must read and complete the relevant sections below. Before signing the Declaration you should ensure that you have read and understood the TD Waterhouse Customer Terms of Service included with this application form.

- I/We confirm that I/we have read and understood the TD Waterhouse Customer Terms of Service, and agree to be bound by their terms.
- I/We confirm that I/we have read and consent to the use of my/our personal information as set out in clause 20 of the TD Waterhouse Customer Terms of Service.
- I/We understand that by submitting this form, I am/we are indicating my/our consent to receiving marketing information as set out in clause 20.2 of the TD Waterhouse Customer Terms of Service unless I/we have indicated an objection to receiving such information by ticking the following box.

First applicant       Joint applicant (if applicable)

- I/We confirm that I am/we are 18 years of age or over and agree that the information in this form is true and correct to the best of my/our knowledge and beliefs.
- I/We will undertake to notify you promptly of any changes to the details supplied.
- I/We confirm that this Account is not to be used for or on behalf of anyone other than the applicant(s), without disclosure to TD Waterhouse.

First applicant signature

Joint applicant signature

X

X

Date    Date